

# Drug development and decision-making in pediatric settings leveraging adult results\*

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# Disclaimer

\* The views expressed in this talk are those of the speaker and not necessarily those of the FDA

# Outline

- Background
- Extrapolation of adult results to a pediatric setting
- On operating characteristics
  - Conditional and unconditional type I error (reference point: before the adult studies begin)
- Concluding remark



# Background

# Pediatric Drug Development

- Pediatric drug development has the same basic standard as for adults:
  - “An integrated summary of the data demonstrating substantial evidence of effectiveness for the claimed indications.” – 21CFR314.50
- When we are evaluating evidence to extend an indication into children that has been first evaluated in adults, we can rely on the concept of extrapolation discussed in 21CFR314.55:
  - “Where the course of the disease and the effects of the drug are sufficiently similar in adults and pediatric patients, FDA may conclude that pediatric effectiveness can be extrapolated from adequate and well-controlled studies in adults usually supplemented with other information obtained in pediatric patients, such as pharmacokinetic studies.”
- We have an ethical imperative to minimize the extent of pediatric studies:
  - “A fundamental principle in pediatric drug development requires that children should not be enrolled in a clinical study unless necessary to achieve an important pediatric public health need.” – ICH E11 (R1)

# Cases considered

- Consider those indications where effects of medical products in children studied after marketing approval in adults (after first shown to be safe and effective in adults) and
- Extrapolation of adult results to pediatrics is reasonable and independent confirmation in pediatric setting is not needed

# Cases not considered

- Cases where independent confirmation in pediatric setting is needed
  - Extrapolation is not reasonable (e.g., human growth hormone deficiency)
  - Important to have very precise information on benefit/risks in pediatric setting (e.g., Type I diabetes)

# Beginning of pediatric development

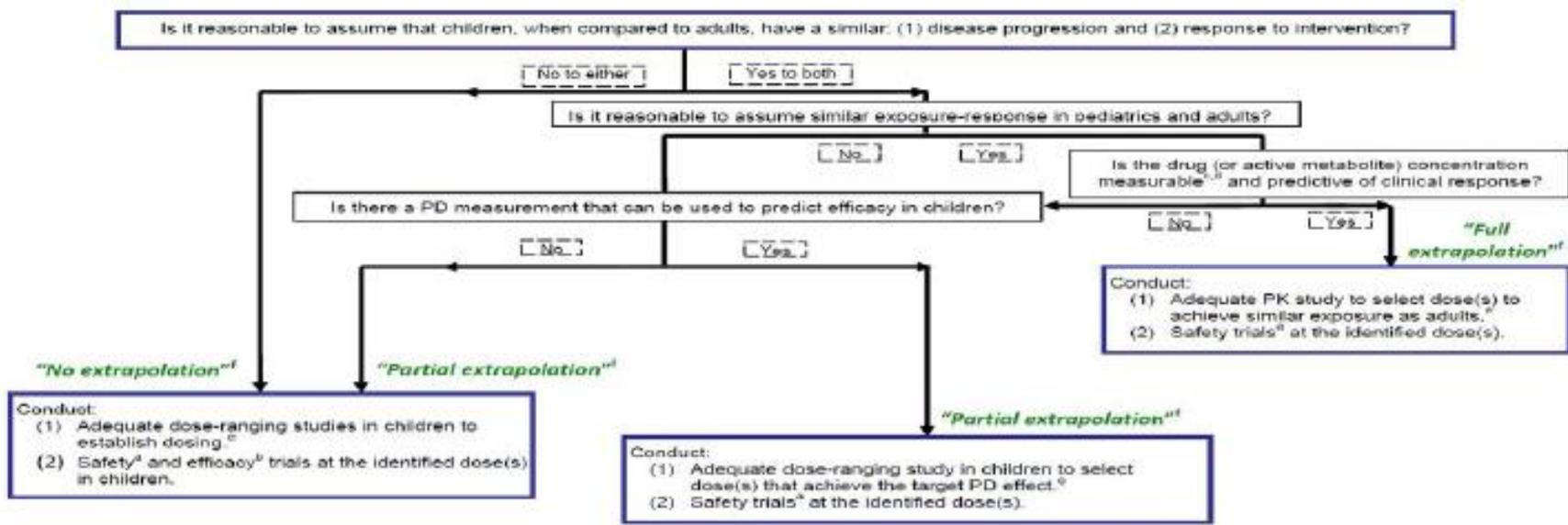
- In cases being considered pediatric development starts when adult studies start
- This should be considered when discussing “operating characteristics” involving decisions in pediatric setting



# Extrapolation of adult results to a pediatric setting

# Old Extrapolation Framework

## Pediatric Study Planning & Extrapolation Algorithm



Footnotes:

- a. For locally active drugs, includes plasma PK at the identified dose(s) as part of safety assessment.
- b. For partial extrapolation, one efficacy trial may be sufficient.
- c. For drugs that are systemically active, the relevant measure is systemic concentration.
- d. For drugs that are locally active (e.g., intra-luminal or mucosal site of action), the relevant measure is systemic concentration only if it can be reasonably assumed that systemic concentrations are a reflection of the concentrations at the relevant biospace (e.g., skin, intestinal mucosa, nasal passages, lung).
- e. When appropriate, use of modeling and simulation for dose selection (supplemented by pediatric clinical data when necessary) and/or trial simulation is recommended.
- f. For a discussion of no, partial and full extrapolation, see Dunne J, Rodriguez WJ, Murphy MD, et al. "Extrapolation of adult data and other data in pediatric drug-development programs." *Pediatrics*. 2011 Nov;128(5):e1242-6.

# Current Pediatric Extrapolation Framework

## Disease/response “similarity” is a continuum



| Different  | Dissimilar  | Similar   | Same  |
|--|---|---|---|
| No overlap between adult and pediatric condition | Some degree of overlap with significant differences between adult and pediatric condition | Large degree of overlap with some differences between adult and pediatric condition | Significant overlap; no known significant differences between adult and pediatric condition |

Increasing relevance of adult information to pediatric population with increasing confidence in similarity between adult and pediatric condition

AWC pediatric trial(s)

Bridging biomarker, Bayesian borrowing, etc.

Exposure matching

# A reason for doing extrapolation

- Believe treatment effects for adults and for pediatrics are correlated.

# A decision-making framework

- Size pediatric study according to what is ethical, feasible, reliable (when also considering adult results) and timely
  - Safety concerns may dictate sample size
- Decision based on considering pediatric results along with adult results

# An Art

- There is some “art” to synthesizing results in adult setting with results in pediatric setting to make an inference in the pediatric setting.
- How much information worth of adult results to synthesize with pediatric results?
- How to synthesize?
- An objective: minimize as much as possible chance of getting inconclusive results for pediatric decisions

# Studying products in same class

- Two products in same class studied in adults for same indication
- Products studied in same number of adults through similar trial designs with different estimated treatment effects
- Same conclusion on appropriateness of extrapolation of adult results to pediatric setting

Therefore, it would make sense that the same amount of information in respective adult settings are extrapolated (used in the prior distribution) to respective pediatric setting.



Insisting to control  
conditional type I error  
rate – borrow less the  
more effective the  
product is in adults

## Two products in same class

- Products A and B have estimated effects of 1.5 and 1 in adults based on the same amount of information/precision
- Prior for A: Normal (1.5, var =  $\gamma$ )
- Prior for B: Normal (1, var =  $w$ )
- Inverse relationship between  $\gamma$  ( $w$ ) and amount of information borrowed
- Pediatric likelihood: Normal ( $\theta_j$ , var = 3),  $j = A, B$ 
  - Pediatric studies are the same size
- Success criterion: Posterior probability of effect  $> 0.975$

# Relative amount of adult information borrowed

| One-sided conditional type I error rate | $\gamma$ | $w$      | Amount of information in B borrowed relative to A |
|---|----------|----------|---|
| 0.025                                   | $\infty$ | $\infty$ | No information borrowed                           |
| 0.05                                    | 1.46     | 0.47     | 3.09  |
| 0.10                                    | 0.97     | 0.38     | 2.55  |
| 0.15                                    | 0.81     | 0.34     | 2.38  |



# Bayesian analysis using a mixture prior

# Multiple approaches

- Will discuss one approach – use of a mixture prior distribution

# Mixture priors

- Consist of two components
  - one allows for a specific amount of borrowing from external data when such borrowing is considered reasonable and
  - another component that does not allow any borrowing of external data/results (e.g., a skeptical prior/fairly noninfluential).
  - Weights can be based on “applicability” or on how much to “hedge”

# Skeptical Prior

“Data need to convince a skeptic”

- A prior distribution that would require stronger evidence from the data to conclude the drug is effective than if no prior distribution were used (or a non-influential prior distribution were used).

# Example

Ye, J., and Travis, J., *A Bayesian Approach to Incorporating Adult Clinical Data into Pediatric Trials* presented September 8, 2017 at the FDA Workshop on Pediatric Trial Design and Modeling: Moving into the Next Decade [PDF of Power Point Slides]

<https://www.fda.gov/downloads/Drugs/NewsEvents/UCM576645.pdf>

# Adult Study Results

- Continuous endpoint, a change from baseline to a timepoint
- Combined analysis of the adult studies
  - estimated treatment difference of -0.33
  - 95% confidence interval of (-0.58, -0.08)
    - Reflect with adult prior normal distribution mean -0.33 with 95% probability between -0.58 and -0.08

# Choice of Skeptical Prior

- Normal mean 0 and variance 0.48
  - In example, like starting with group difference of 0 based on 18 subjects per group

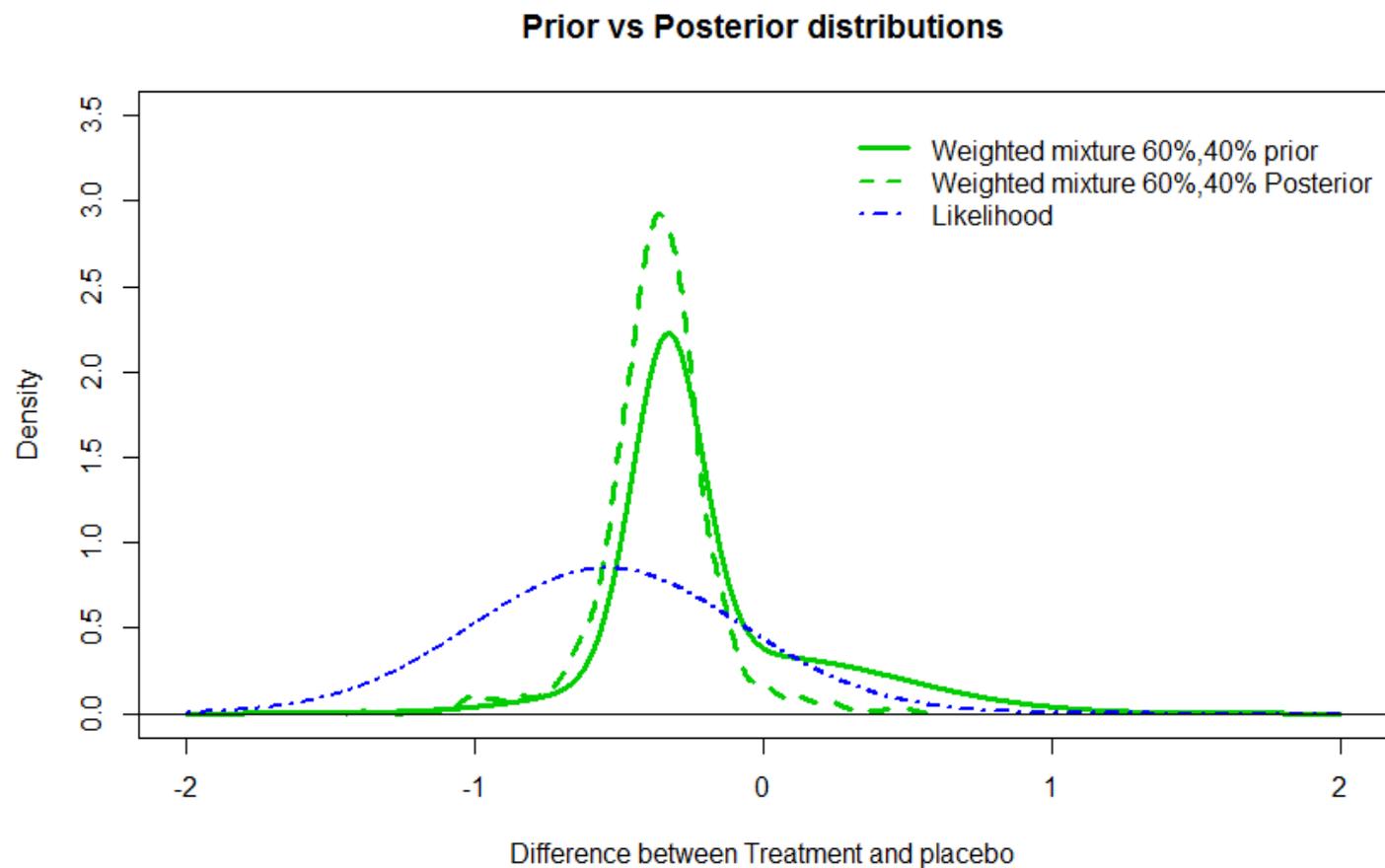
# Solicit Expert Opinions

- Ten experts (within FDA) asked to rate applicability of adult data to the pediatric setting on a scale from 0 to 10
  - Rating of 0 meaning the adult data are completely irrelevant to the pediatric setting
  - Rating of 10 meaning that adult data are as meaningful as pediatric data in the pediatric setting.
  - Mean and Median rating were both 6 out of 10 (60% applicability)
    - Weights of 60% and 40% were used in the mixture prior of adult data and a skeptical prior, respectively.

# Pediatric Study Results

- Estimated treatment difference of -0.54
- 95% confidence interval of (-1.40, 0.32)
  - “Likelihood” corresponds to normal distribution mean -0.54 with 95% probability between -1.40 and 0.32

# Prior, Likelihood, Posterior



# Posterior Probability

- Posterior probability treatment difference is negative is 0.973

# Other FDA References to Mixture Priors

Abstract 2865



## Application of Bayesian Statistics to Support Approval of Intravenous Belimumab in Children with Systemic Lupus Erythematosus in the United States

2019 ACR Annual Scientific Meeting  
November 13, 2019

Pottackal G, Travis J, Neuner N, Rothwell R, Levin G, Niu J, Marathe A, Nikolov NP  
Office of New Drugs  
Office of Biostatistics  
U.S. Food and Drug Administration



# Concluding Remark

# Concluding Remark

- Drug development and decision making in pediatric settings starts with adult studies
  - design of adult studies
  - evaluating decision making operating characteristics
- Risk-Benefit assessment should, when possible, include pediatric efficacy results
- Objective : minimize the chance of inconclusive results
- Extrapolation: being done because we believe treatment effects in adults and children are positively correlated



Thank you  
Questions?



Extra



# Operating Characteristics

# State of Nature and Actions

|         |                    | State of Nature |            |
|---------|--------------------|-----------------|------------|
|         |                    | Null True       | Null False |
| Actions | Reject Null        | a%              | b%         |
|         | Do not reject Null | c%              | d%         |

100%

Probability of a type I error =  $[a/(a+b)] \times 100\%$   
 Probability of a type II error =  $[d/(c+d)] \times 100\%$

Primary analyses of clinical trials represented in the table. Table provides information on how well the drug development process works.

We are interested in the whole table. Therefore, we need to know three percents to get the whole table.

# State of Nature and Actions

- Two probabilities/percents known
  - Probability of a type I error and Probability of a type II error
  - False discovery rate and False omission rate
- Three probabilities/percents known
  - Probability of a type I error, Probability of a type II error and probability drug is effective
    - Related to needing to know the probability drug is effective to properly interpret a p-value
  - False discovery rate, False omission rate and rate of concluding benefit

# State of Nature and Actions in Pediatrics relative to all products studied in adults

## State of Nature in Pediatrics

**Action in Pediatrics**

Conclude Drug Effective

Don't conclude Drug Effective

|                               | Drug Not Effective | Drug Effective |
|-------------------------------|--------------------|----------------|
| Conclude Drug Effective       | $a_1\%$            | $b_1\%$        |
| Don't conclude Drug Effective | $c_1\%$            | $d_1\%$        |

100%

Table represents the collection of drugs/trials studied in adults.

# State of Nature and Actions in Pediatrics relative to all products found to be safe and effective in adults

## State of Nature in Pediatrics

**Action in Pediatrics**

|                               |
|-------------------------------|
| Conclude Drug Effective       |
| Don't conclude Drug Effective |

| Drug Not Effective | Drug Effective |
|--------------------|----------------|
| $a_2\%$            | $b_2\%$        |
| $c_2\%$            | $d_2\%$        |

100%

Table represents the collection of drugs/trials *found to be safe and effective in adults.*

# An Illustration

# Illustration -in Adults

## relative to all products studied in adults

### State of Nature in Adults

1000 drugs studied in adults.

|                  |                               | Drug Not Effective | Drug Effective |      |
|------------------|-------------------------------|--------------------|----------------|------|
| Action in Adults | Conclude Drug Effective       | 20 (2%)            | 320 (32%)      | 340  |
|                  | Don't conclude Drug Effective | 580 (58%)          | 80 (8%)        | 660  |
|                  |                               | 600                | 400            | 1000 |

# Illustration - in Pediatrics relative to all products found to be safe and effective in adults

## State of Nature in Pediatrics

|                      |                               | Drug Not Effective | Drug Effective |     |
|----------------------|-------------------------------|--------------------|----------------|-----|
| Action in Pediatrics | Conclude Drug Effective       | 4 (1%)             | 270 (80%)      | 274 |
|                      | Don't conclude Drug Effective | 36 (11%)           | 30 (9%)        | 66  |
|                      |                               | 40                 | 300            | 340 |

*340 drugs found to be safe and effective in adults.*

# Illustration in Pediatrics relative to all products studied in adults

## State of Nature in Pediatrics

1000 drugs  
*studied in  
adults.*

|                         |                                  | Drug Not<br>Effective | Drug<br>Effective |      |
|-------------------------|----------------------------------|-----------------------|-------------------|------|
| Action in<br>Pediatrics | Conclude Drug<br>Effective       | 4 (0.4%)              | 270 (27%)         | 274  |
|                         | Don't conclude<br>Drug Effective | 626 (63%)             | 100 (10%)         | 726  |
|                         |                                  | 630                   | 370               | 1000 |

## Illustration in Pediatrics relative to all products studied in adults

- Type I error rate =  $4/630 = 0.6\%$
- Type II error rate =  $100/370 = 27\%$
- False Discovery Rate  $4/274 = 1.5\%$
- False Omission Rate =  $100/726 = 14\%$

*1000 drugs  
studied in  
adults.*