

A new Bayesian adaptive decision-theoretic design for multi-arm multi-stage clinical trials illustrated by an application in exercise oncology

Peter van de Ven

Department of Data Science and Biostatistics
University Medical Center Utrecht



Disclosure slide

Disclosures

- I am seconded for 0.4 FTE to the Dutch Medicines Evaluation Board (CBG-MEB) as a methodology assessor
- I do not have any disclosures that are relevant for the presented work
- I here present my personal views

Outline

- Motivation
- Outline of the methodology
- Simulation studies and results
- Retrospective application to a trial in exercise oncology
- Concluding remarks

Motivation

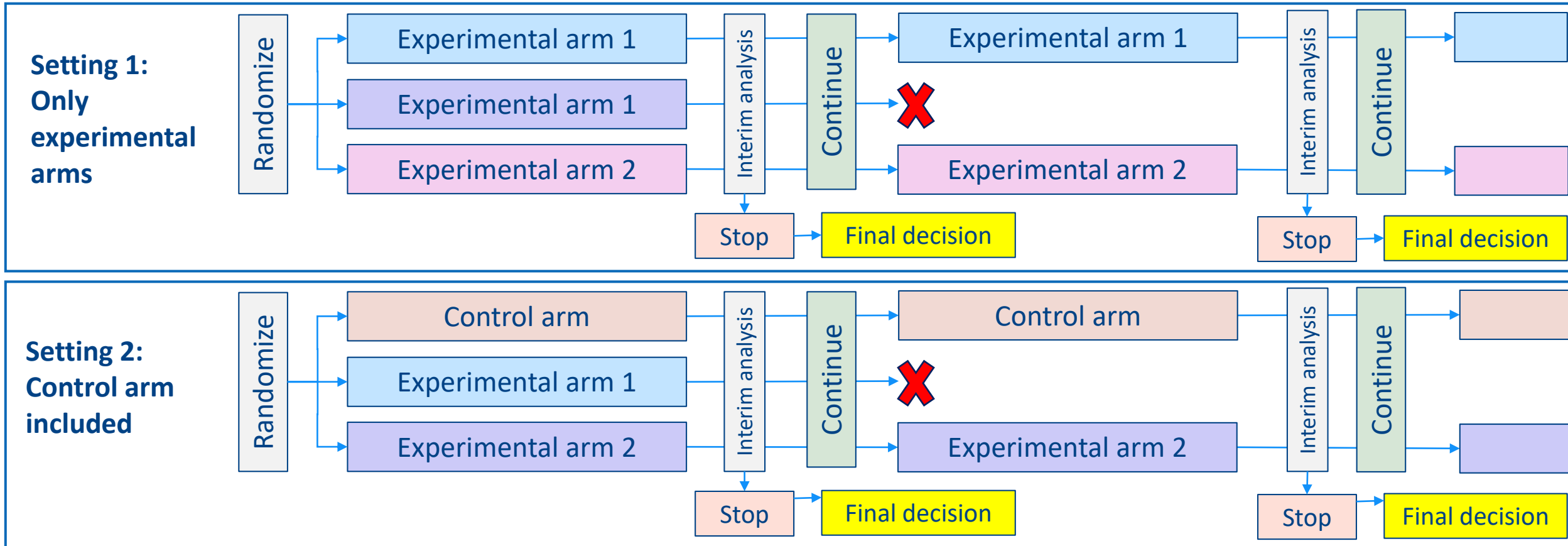
- Rapid increase in available treatments (in development/approved/used in practice)
- A large proportion of phase III trials is negative (in oncology approximately 65%¹)
- Long timespan until approval of new drugs (10-15 years)
- Most phase II trials are single-arm trials and most phase III trials are two-arm trials
- How to compare effectiveness of different treatments that are all standard of care?

There is a clear need for more efficient trials that compare multiple treatment options and use decision-criteria that fit a trial's objective

¹ Wong CH, Siah KW, Lo AW. Estimation of clinical trial success rates and related parameters. *Biostatistics*. 2019 Apr 1;20(2):273-286. doi: 10.1093/biostatistics/kxx069. Erratum in: *Biostatistics*. 2019 Apr 1;20(2):366.

Outline of methodology: Trial design

Multi-arm multi-stage design: equal randomization to active treatment arms in each stage



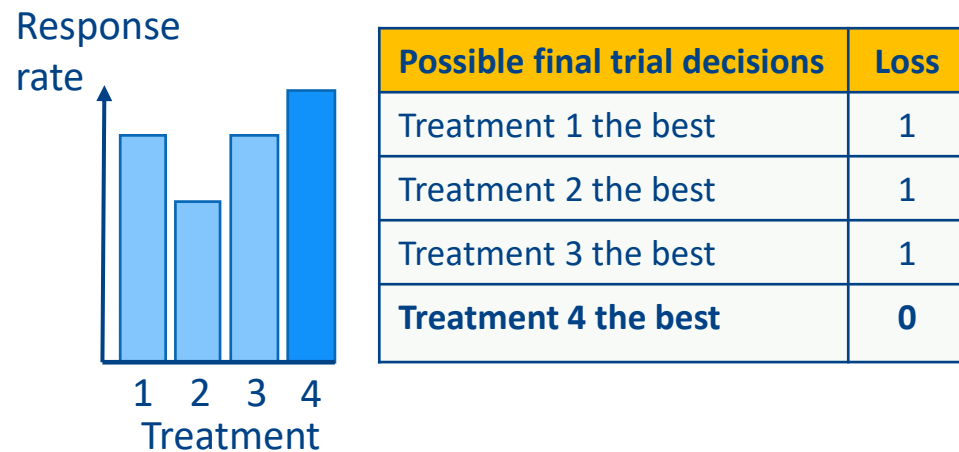
Outline of methodology: Decision-theoretic framework

Loss functions

Bassi et al. (SMMR, 2020): 0-1 loss function with loss of 1 for incorrect decisions and loss of 0 for correct decision: expected loss is probability of making an incorrect final decision

Setting 1: Pick-the-winner

Select the experimental treatment with highest response rate



Setting 2: Pick-all-treatments-superior-to-control

Select all experimental treatments that outperform the control treatment by an absolute margin of δ



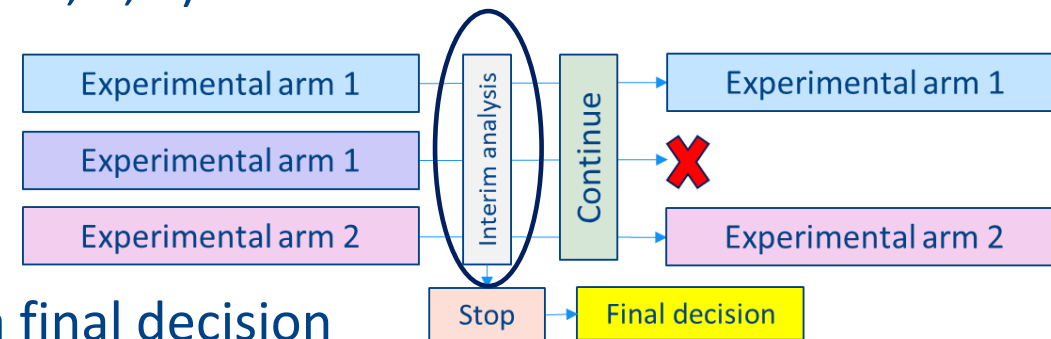
Outline of methodology: Interim analyses

Model

- Response of subject in treatment arm j : Bernoulli distributed with probability p_j
- Independent, non-informative uniform priors for p_j ($j = 1, \dots, T$)

Interim analyses

- Equal number of subjects per stage (expect for first)
- **One-stage ahead approach**, comparing
 - Expected loss in case of stopping now and making a final decision
 - Expected loss in case of continuing for a single stage and making a final decision:
 - Keeping all active arms in the trial
 - After dropping a single treatment arm from the trial
- Based on economic principle of diminishing returns: **Continue trial when the reduction in expected loss exceeds a predefined threshold**



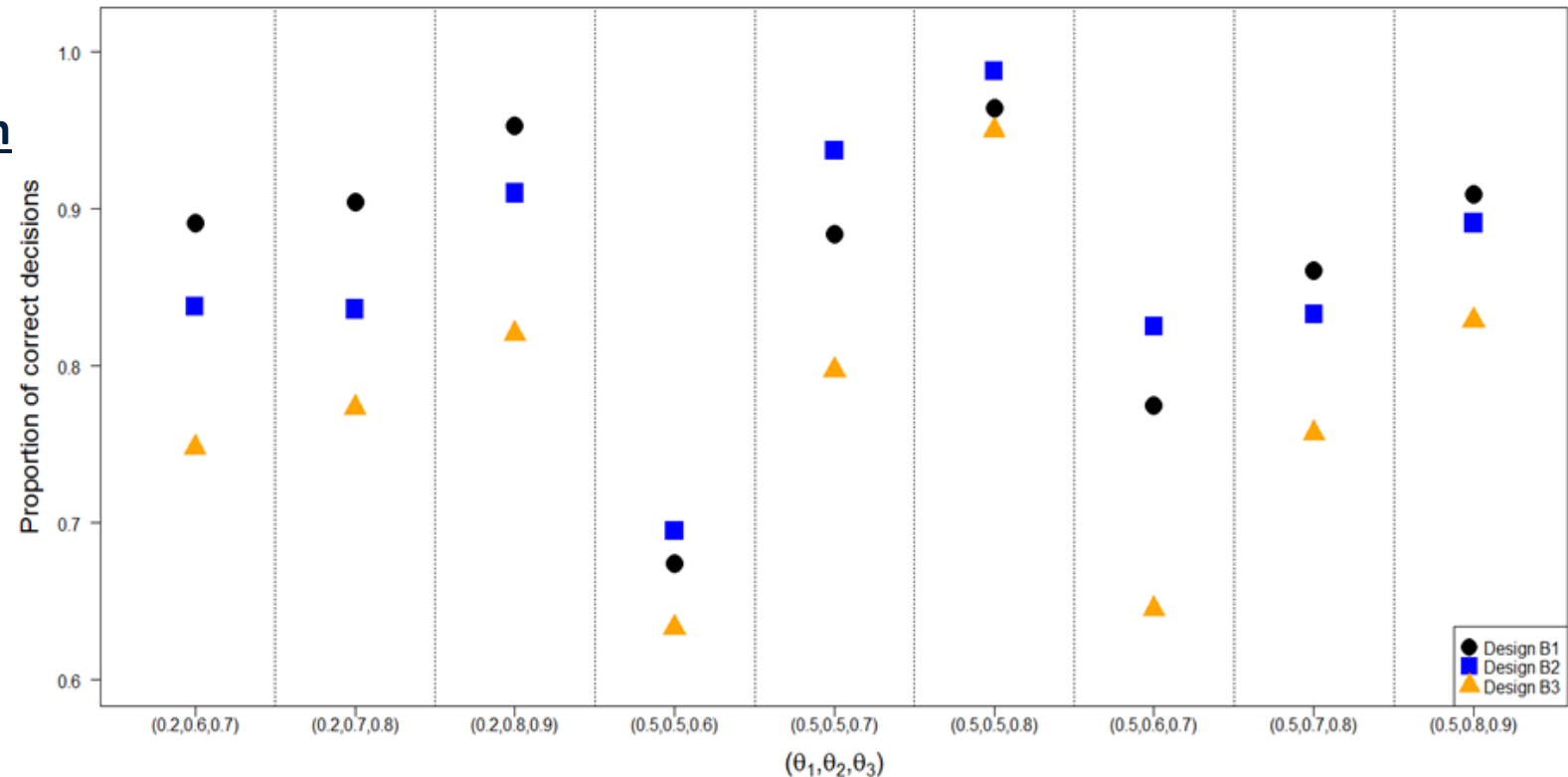
Simulation studies and results: Experimental arms only

- **Pick-the-winner:** 3 treatment arms
- Threshold for continuation fixed at 1/2500 for design B1
- Designs B2 and B3 matched in terms of (expected) sample size
- 12 subjects per stage

Design B1: Bayesian adaptive decision-theoretic design with dropping of arms

Design B2: Bayesian adaptive decision-theoretic design without dropping of arms

Design B3: Single stage (non-adaptive) Bayesian decision-theoretic design



Simulation studies and results: Comparison to control

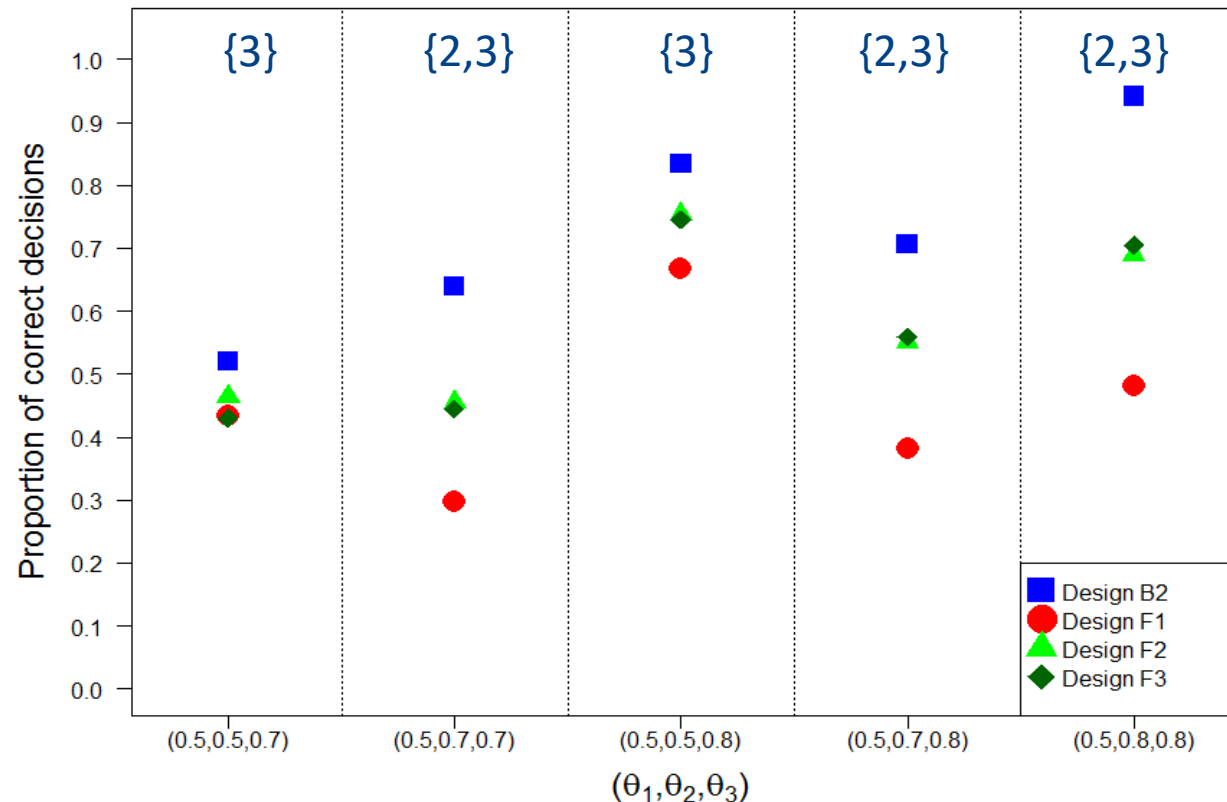
- **Pick-all-treatments-superior-to-control:** 2 experimental arms and 0.15 margin
- Threshold tuned for design B2 to have overall type I error of 5% (one-sided testing)
- Frequentist designs F1, F2 and F3 matched to B2 on (expected) sample size
- 12 subjects per stage, 24 in first stage
- Arm 1 is the control arm

Design B2: Bayesian adaptive decision-theoretic design without dropping of arms

Design F1: Single-stage, Dunnett's procedure

Design F2: Two-stage procedure of Urach and Posch, O'Brien Fleming spending function

Design F3: Two-stage procedure of Urach and Posch, Pocock spending function



Simulation studies and results

Simulations showed increased efficiency compared to single- and two-stage designs through:

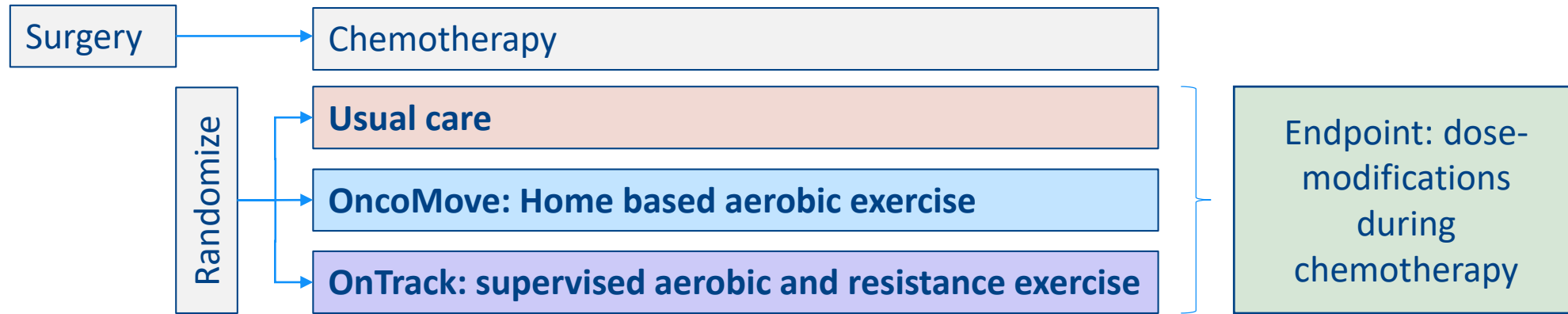
- Adaptive stopping when probability of an incorrect decision is not expected to sufficiently reduce in the next stage
- Dropping of arms, provided that differences between the arms are large

Simulations (not presented here) further showed that:

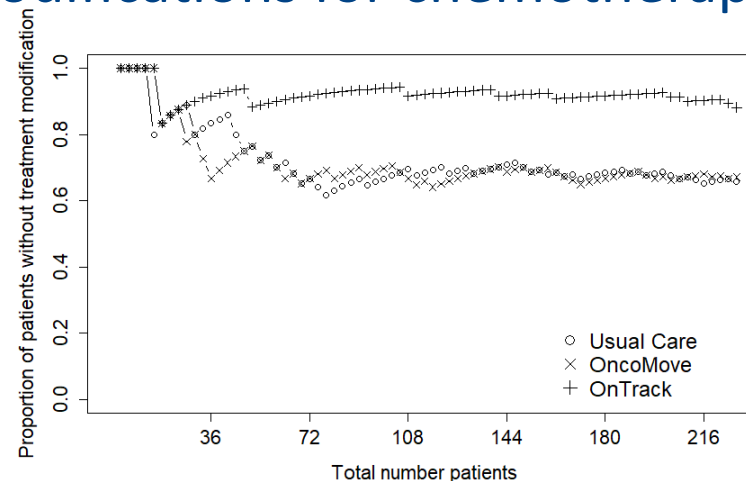
- Frequentist two-stage procedures required average trial sizes that were 14%-67% higher (matching proportion of correct decisions)
- In pick-the-winner setting with up to five arms proportions of correct decisions of >80% could be obtained with average trial sizes of 100-150

Retrospective application: PACES trial

- Trial included 230 patients with breast cancer receiving adjuvant therapy
- Compared 2 exercise programmes to usual care



- Endpoint: dose-modifications for chemotherapy (yes/no)

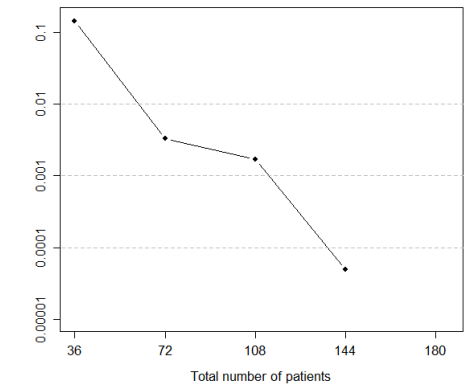
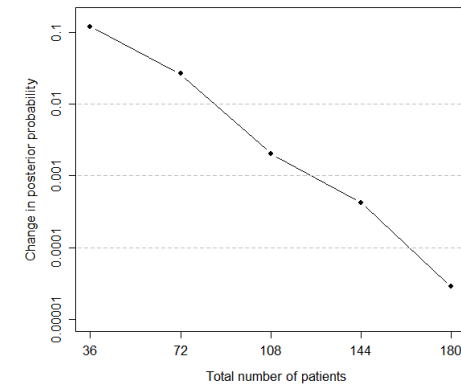
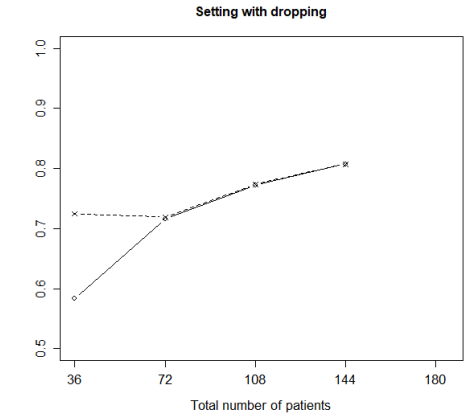
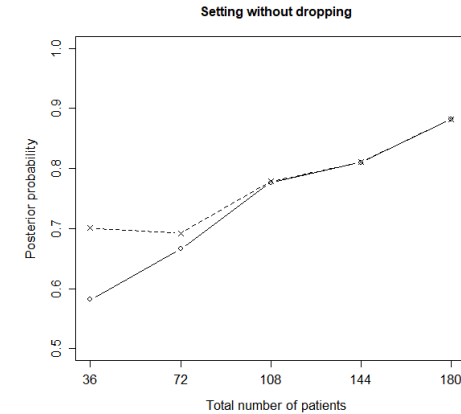


Retrospective application: PACES trial

Reanalysis using Bayesian adaptive decision-theoretic method

- 36 patients per stage
- Pick-all-treatments-superior-to-control setting with absolute margin of 0.10
- Total trial size between 108 and 180 depending on threshold for continuation and dropping of arms

Threshold for continuation	Reanalysis of PACES trial data		Type 1 error probability evaluation ^a	
	Number of patients included in the trial	Posterior probability that only OnTrack was superior to UC	Average trial size	Type 1 error probability
Without arm dropping				
0.01	108	0.777	139	9.7%
0.001	144	0.810	195	5.3%
0.0001	180	0.881	250	2.5%
With arm dropping				
0.01	72	0.713	126	18.4%
0.001	144	0.814	174	16.3%
0.0001	144	0.814	216	14.3%



Concluding remarks

- We introduced a general Bayesian-adaptive decision-theoretic framework for multi-arm multi-stage trials
- We focused on binary loss functions, focusing on the posterior probability of a correct final decision
- Control of type I error possible, but requires tuning of threshold in combination with sample size for first stage (latter in presence of dropping of arms)
- Efficiency shown in various settings and scenarios
- Currently applied in multi-arm AMICO trial: Aerobic fitness or Muscle mass training to Improve Colorectal cancer Outcome



Acknowledgements

The presented work is joint work with:

- prof. Hans Berkhof
- Andrea Bassi, MSc
- dr. Laurien Buffart
- prof. Daphne de Jong

Principal investigators of the PACES trial are:

- prof. Neil Aaronson
- dr. Martijn Stuiver
- prof. Gabe Sonke



Bayesian adaptive decision-theoretic designs for multi-arm multi-stage clinical trials

Andrea Bassi¹, Johannes Berkhof¹, Daphne de Jong² and Peter M van de Ven¹ 

Statistical Methods in Medical Research
2021, Vol. 30(3) 717–730
© The Author(s) 2020



Article reuse guidelines:
sagepub.com/journals-permissions
DOI: 10.1177/0962280220973697
journals.sagepub.com/home/smm



ELSEVIER



Journal of Clinical Epidemiology 159 (2023) 190–198

**Journal of
Clinical
Epidemiology**

ORIGINAL ARTICLE

A Bayesian-adaptive decision-theoretic approach can reduce the sample sizes for multiarm exercise oncology trials

Laurien M. Buffart^{a,*}, Andrea Bassi^b, Martijn M. Stuiver^{b,c,d}, Neil K. Aaronson^d, Gabe S. Sonke^e, Johannes Berkhof^b, Peter M. van de Ven^f